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09/868,767

PATENT APPLICATION #5

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masayuki YAMANA, et al.

Appln. No. 09/868,767

Group Art Unit: Unassigned

Confirmation No.: Unassigned

Examiner: Unassigned

Filed: June 21, 2001

For: AQUEOUS WATER- AND OIL-REPELLENT DISPERSION

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

**INFORMATION DISCLOSURE STATEMENT**  
**U.S. Appln. No. 09/868,767**

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents (JP-A-9-25478 and WO 97/48780), Applicants enclose herewith a copy of a corresponding Communication from a Foreign Patent Office citing such documents, together with an English-language version (if not already included) of that portion of the Communication from a Foreign Patent Office indicating the degree of relevance found by the foreign office. See the translation of the International Preliminary Examination Report for PCT/JP99/07006 dated January 4, 2001 submitted herewith and the International Search Report for PCT/JP99/07006 dated March 21, 2000 submitted on June 21, 2001 with the filing of this application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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